

BARNES & THORNBURG

600 One Summit Square Fort Wayne, Indiana 46802 (260) 423-9440

PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.:

23641

Application No.: 09/406,290

Confirmation

No.:

1448

Filing Date:

September 24, 1999

Attorney

Docket No.:

37168/82045

First Named

Inventor:

Jeffrey K. Dellinger, et al.

Group Art

Unit:

3627

Examiner

Name:

Christopher R. Buchanan

Title:

METHOD AND APPARATUS

FOR PROVIDING

RETIREMENT INCOME

BENEFITS

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P. O. Box 1450,

Alexandria, VA 22313-1450

on August 21, 2003

Dianne Neumann Leatherman

NOTICE OF APPEAL

Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants hereby appeal to the Board of Appeals from the decision in the Final Office Action mailed February 28, 2003, rejecting Claims 1-43. An Appeal Brief will be filed within 09/04/2003 SCALLIHA 00000 two months of the filing date of this notice.

08/26/2003 SSITHIB1 00000067 09406290

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320.00 OP

Serial No. 09/406290 Docket No. 37168/82045

Attached herewith is the appropriate filing fee in the amount of \$320.00, as specified in 37 C.F.R. 1.17(b).

To the extent necessary, a petition for an extension of time under 37 C.F.R. §1.136 is hereby made.

Please credit any overpayments or charge any additional fees to the Deposit Account of Barnes & Thornburg, Account Number 02-1010 (37168/82045).

Respectfully submitted,

Bobby B/Gillenwater

Reg. Nø. 31,105

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